

Part I: Summary					
PHA Name: HRA OF RED WING, MINNESOTA		Grant Type and Number Capital Fund Program Grant No. Replacement Housing Factor Grant No. Date of CFFP:		FFY of Grant: FFY of Grant Approval:	
Type of Grant					
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (Revision No:)					
<input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ⁽¹⁾	
		Original	Revised ⁽²⁾	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	\$72,000.00			
3	1408 Management Improvement				
4	1410 Administration	\$29,000.00			
5	1480 General Capital Activity	\$189,000.00			
6	1492 MovingToWorkDemonstration				
7	1501 Collater Exp / Debt Srvc				
8	1503 RAD-CFP				
9	1504 Rad Investment Activity				
10	1505 RAD-CPT				
11	1509 Preparing for, Preventing and Responding to Coronavirus (1509)				

(1) To be completed for the Performance and Evaluation Report
 (2) To be completed for the Performance and Evaluation Report or a Revised Annual Statement
 (3) PHAs with under 250 units in management may use 100% of CFP Grants for operations
 (4) RHF funds shall be include here

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Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ⁽¹⁾	
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12	9000 Debt Reserves				
13	9001 Bond Debt Obligation				
14	9002 Loan Debt Obligation				
15	RESERVED				
16	RESERVED				
17	RESERVED				
18a	RESERVED				
18ba	RESERVED				
19	RESERVED				
20	RESERVED				
21	Amount of Annual Grant: (sum of lines 2-20)	\$290,000.00			

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22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 Activities				
24	Amount of line 21 Related to Security - Soft Costs				
25	Amount of line 21 Related to Security - Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

Signature of Executive Director	Date	Signature of Public Housing Director	Date
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Part II: Supporting Pages								
PHA Name: HRA OF RED WING, MINNESOTA		Grant Type and Number Capital Fund Program Grant No. Replacement Housing Factor Grant No. CFPP(Yes/No):				Federal FFY of Grant:		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost ⁽²⁾		Status of Work
				Original	Revised ⁽¹⁾	Funds Obligated	Funds Expended	
MN090000001 - JORDAN TOWERS/SCATTERED	Operations (Operations (1406)) Description : Sustain and maintain the property, to include general repairs; administrative and maintenance salaries; and utility payments. If there were hazardous material to be found, it would be mitigated properly.	1406		\$72,000.00				
MN090000001 - JORDAN TOWERS/SCATTERED	Plumbing (Dwelling Unit-Site Work (1480)) Description : Replace horizontal pipes, sewer lines, and subsurface drainage in Jordan Tower I, 433 West 4th Street. There would be disturbance to the walls, soil and plumbing fixtures. At the family units, 613 21st Street, 861 Featherstone Road, 341 Pioneer Road, and 813 Hallstrom Drive, the plumbing would also be replaced. There may be hazardous materials and if found,	1480		\$125,000.54				
MN090000001 - JORDAN TOWERS/SCATTERED	Excessive Tenant Damage to all units (Dwelling Unit-Exterior (1480),Dwelling Unit-Interior (1480)) Description : Upon move out of Jordan Tower I (433 West 4th Street) or Family Scattered sites (613 21st Street, 861 Featherstone, 341 Pioneer Road, 813 Hallstrom Drive), any damage above and exceeds normal wear and tear of the unit. This could be repair walls, plumbing,	1480		\$999.46				

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				Original	Revised ⁽¹⁾	Funds Obligated	Funds Expended	

were hazardous material to be found, it would be mitigated properly.

MN090000001 - JORDAN TOWERS/SCATTERED	Architectural and Engineering Fees (Contract Administration (1480)) Description : For any possible future projects needing an architect at 433 West 4th Street, 613 21st street, 861 Featherstone Road, 341 Pioneer Road and 813 Hallstrom Drive, contract with an architectural and engineering firm to perform and monitor project.	1480		\$1,000.00				
MN090000001 - JORDAN TOWERS/SCATTERED	Davis Bacon Fees (Contract Administration (1480)) Description : For projects at 433 West 4th Street, 613 21st street, 861 Featherstone Road, 341 Pioneer Road and 813 Hallstrom Drive, contract with a Davis Bacon firm to perform and monitor project.	1480		\$1,000.00				

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MN090000001 - JORDAN TOWERS/SCATTERED	Modernization of units (Dwelling Unit- Development (1480),Dwelling Unit-Interior (1480)) Description : Upon move out of Jordan Tower I (433 West 5th Street) or Family Scattered sites (613 21st Street, 861 Featherstone Road, 341 Pioneer Road, and 813 Hallstrom Drive), any updates needed to the unit such as flooring, fixtures, appliances, etc. would be completed during	1480		\$5,000.00				
MN090000001 - JORDAN TOWERS/SCATTERED	Administrative Fees (Administration (1410)) Description : To pay the salary and benefits for staff, along with other expenses, to administer the CFP grant.	1410		\$29,000.00				
MN090000001 - JORDAN TOWERS/SCATTERED	Landscape at all properties (Non-Dwelling Site Work (1480)) Description : Landscape at 433 West 4th Street, 613 21st Street, 861 Featherstone Road, 341 Pioneer Road, and 813 Hallstrom Drive. This would include planting shrubs, trees, grass, and other décor. There would be some disturbance to the ground so if any hazardous material is found, it will be	1480		\$5,000.00				

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				Original	Revised ⁽¹⁾	Funds Obligated	Funds Expended	
MN090000001 - JORDAN TOWERS/SCATTERED	HVAC Maintenance (Dwelling Unit-Interior (1480)) Description : At Jordan Tower I (433 West 4th Street) or Family Scattered sites (613 21st Street, 861 Featherstone, 341 Pioneer Road, 813 Hallstrom Drive); includes replacement of kitchen and bathroom exhaust fans, range hoods, water heaters, dryer vent ductwork, and AC sleeve. Includes cleaning of existing ductwork. If there are any hazardous materials, it will be	1480		\$26,000.00				
MN090000001 - JORDAN TOWERS/SCATTERED	Replace flooring in Family Scattered units (Dwelling Unit-Interior (1480)) Description : At 613 21st Street, 861 Featherstone Drive, 341 Pioneer Road, and 813 Hallstrom Drive, replace flooring and base boards in each unit. the flooring would be tile and base boards with either wood or plastic. There is no carpet in any of the units. When taking out the old, there may be hazardous materials which will be mitigated	1480		\$25,000.00				

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				Original	Revised ⁽¹⁾	Funds Obligated	Funds Expended	
	Total:			\$290,000.00				

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Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: HRA OF RED WING, MINNESOTA					Federal FFY of Grant:
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ⁽¹⁾
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	

(1) Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Civil Rights Certification
(Qualified PHAs)

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB Approval No. 2577-0226
Expires 3/31/2024

Civil Rights Certification

Annual Certification and Board Resolution

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairperson or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the 5-Year PHA Plan, hereinafter referred to as "the Plan", of which this document is a part, and make the following certification and agreements with the Department of Housing and Urban Development (HUD) for the fiscal year beginning 01/01/2025 in which the PHA receives assistance under 42 U.S.C. 1437f and/or 1437g in connection with the mission, goals, and objectives of the public housing agency and implementation thereof:

The PHA certifies that it will carry out the public housing program of the agency in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d-2000d—4), the Fair Housing Act (42 U.S.C. 3601-19), Section 504 of the Rehabilitation Act of 1973 (29 U.S.C. 794), title II of the Americans with Disabilities Act (42 U.S.C. 12101 *et seq.*), and other applicable civil rights requirements and that it will affirmatively further fair housing in the administration of the program. In addition, if it administers a Housing Choice Voucher Program, the PHA certifies that it will administer the program in conformity with the Fair Housing Act, title VI of the Civil Rights Act of 1964, Section 504 of the Rehabilitation Act of 1973, title II of the Americans with Disabilities Act, and other applicable civil rights requirements, and that it will affirmatively further fair housing in the administration of the program. The PHA will affirmatively further fair housing, which means that it will take meaningful actions to further the goals identified in the Assessment of Fair Housing (AFH) conducted in accordance with the requirements of 24 CFR § 5.150 through 5.180, that it will take no action that is materially inconsistent with its obligation to affirmatively further fair housing, and that it will address fair housing issues and contributing factors in its programs, in accordance with 24 CFR § 903.7(o)(3). The PHA will fulfill the requirements at 24 CFR § 903.7(o) and 24 CFR § 903.15(d). Until such time as the PHA is required to submit an AFH, the PHA will fulfill the requirements at 24 CFR § 903.7(o) promulgated prior to August 17, 2015, which means that it examines its programs or proposed programs; identifies any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with local jurisdictions to implement any of the jurisdiction’s initiatives to affirmatively further fair housing that require the PHA’s involvement; and maintains records reflecting these analyses and actions.

Red Wing Housing and Redevelopment Authority
PHA Name

MN090
PHA Number/HA Code

I hereby certify that all the statement above, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Executive Director: Kurt Keena		Name of Board Chairperson: Dana Borgschatz	
Signature	Date	Signature	Date

The United States Department of Housing and Urban Development is authorized to collect the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 *et seq.* and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality. The information is collected to ensure that PHAs carry out applicable civil rights requirements.

Public reporting burden for this information collection is estimated to average 0.16 hours per response, including the time for reviewing instructions, searching existing data sources, gathering, and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Certification of Payments to Influence Federal Transactions

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

Public reporting burden for this information collection is estimated to average 30 minutes, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. The information requested is required to obtain a benefit. This form is used to ensure federal funds are not used to influence members of Congress. There are no assurances of confidentiality. HUD may not conduct or sponsor, and an applicant is not required to respond to a collection of information unless it displays a currently valid OMB control number. Comments regarding the accuracy of this burden estimate and any suggestions for reducing this burden can be sent to the Reports Management Officer, Office of Policy, Development and Research, REE, Department of Housing and Urban Development, 451 7th St SW, Room 4176, Washington, DC 20410-5000. When providing comments, please refer to OMB Approval No. 2577-0157.

Applicant Name

Red Wing Housing and Redevelopment Authority

Program/Activity Receiving Federal Grant Funding

MN090

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all sub recipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official Dana Borgschatz	Title Board Chair
Signature	Date (mm/dd/yyyy)

DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

Approved by OMB

0348-0046

(See reverse for public burden disclosure.)

1. Type of Federal Action: <input checked="" type="checkbox"/> NA a. contract b. grant c. cooperative agreement d. loan e. loan guarantee f. loan insurance	2. Status of Federal Action: <input type="checkbox"/> a. bid/offer/application b. initial award c. post-award	3. Report Type: <input type="checkbox"/> a. initial filing b. material change For Material Change Only: year _____ quarter _____ date of last report _____
4. Name and Address of Reporting Entity: <input type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known: Congressional District, if known: 4c	5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime: Congressional District, if known:	
6. Federal Department/Agency:	7. Federal Program Name/Description: CFDA Number, if applicable: _____	
8. Federal Action Number, if known:	9. Award Amount, if known: \$	
10. a. Name and Address of Lobbying Registrant (if individual, last name, first name, MI):	b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):	
11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature: _____ Print Name: <u>Dana Borgschatz</u> Title: <u>Board Chair</u> Telephone No.: <u>651-388-7571</u> Date: <u>10/08/2024</u>	
Federal Use Only:		Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)